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"PATENT"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Walter D. Vann et al

) Before the Examiner
) Not Assigned

U. S. Serial No. 10/802,610

)

Filed: March 17, 2004

)

) Confirmation Number: 4999

) Group Art Unit: 1714

Title: A LUBRICANT CONTAINING A
SYNERGISTIC COMBINATION OF RUST
INHIBITORS, ANTIWEAR AGENTS, AND A
PHENOTHIAZINE ANTIOXIDANT

) Family Number: P2003J023 US2

Commissioner for Patents
Mail Stop Amendment
P. O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

I hereby certify that I have a reasonable basis for believing that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450, on 12/14/04.

Date of Deposit

Jacqueline Wright
Name of attorney or agent

Jacqueline Wright
Signature

12/14/04
Date of Signature

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.98 AND 1.97

Submitted herewith is the Information Disclosure Statement for the above application.

The Information Disclosure Statement is filed (per checked box(es)):

☒ Pursuant to 37 CFR 1.97(b) (Check One); no fee is due:

☐ (1) Within three months of the filing date of a national application other than a Continued prosecution application under 37 CFR 1.53(d); or

☐ (2) Within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or

☒ (3) Before the mailing of a first Office action on the merits; or



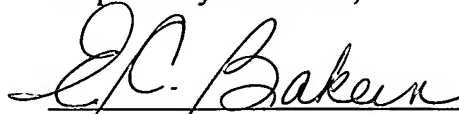
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- ☐ (4) Before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114.
- ☐ Pursuant to 37 CFR 1.97(c): After the period specified in 37 CFR 1.97(b), but before the mailing date of any final action under 37 CFR 1.113, a notice of allowance under 37 CFR 1.311 or an action that otherwise closes prosecution in the application and is accompanied by one of: **(Check One)**
- ☐ (1) A statement as specified in 37 CFR 1.97(e) (See Statement Below); or
- ☐ (2) The fee set forth in 37 CFR 1.17 (p).
- ☐ Pursuant to 37 CFR 1.97(d): after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and accompanied by both: (1) A statement as specified in 37 CFR 1.97(e) (See Statement Below); and (2) The fee set forth in 37 CFR 1.17(p).
- ☐ Statement under 37 CFR 1.97(e): **(Check One)**
- ☐ (1) Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☐ (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

The Commissioner is authorized to charge Deposit Account 05-1330 for any fees due.

Respectfully submitted,



Attorney for Applicants

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Annandale, New Jersey 08801-0900

JJD:jdw
12/13/04



**INFORMATION
DISCLOSURE STATEMENT**

(Use several sheets if necessary)

Applicant(s) Walter D. Vann et al

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Group Art Unit 1714

Attorney Docket Number JJD-0407

Family Number P2003J023 US2

U. S. PATENT DOCUMENTS

Examiner Initial *		Document Number							Date	Name	Class	Sub- Class	Filing Date
	AA	3	9	1	2	6	4	0	10/14/75	Anzenberger	252	47.5	8/7/72
	AB	5	5	0	3	7	5	9	4/2/96	Evans et al	252	47.5	12/20/94
	AC	5	4	1	3	7	3	7	5/9/95	Evans	252	405	4/22/94
	AD	5	3	9	7	4	8	7	3/14/95	Pillon et al	252	51.5 R	8/26/93
	AE	3	8	0	3	1	4	0	4/9/74	Cook et al	260	243 A	3/2/72
	AF	4	2	9	8	4	8	1	11/3/81	Clarke	252	21	2/23/79
	AG	5	2	6	9	9	5	4	12/14/93	Germanaud	252	47	11/25/91
	AH	5	3	0	1	9	2	3	4/12/94	Asao et al	252	12.002	1/21/93
	AI	5	3	9	1	7	5	6	2/21/95	Karol et al	598	112	11/20/92
	AJ	3	8	6	9	3	9	4	3/4/75	Daniels et al	252	46.7	7/16/73
	AK	3	8	8	2	0	4	2	5/6/75	McGuigan	252	400 R	10/10/72
	AL	4	9	1	5	8	5	8	4/10/90	Salomon	252	47.5	6/23/88

FOREIGN PATENT DOCUMENTS

		Document Number							Publica- tion Date	Country	Class	Sub- Class	Translation Yes No	
	AM	1	5	9	4	3	7	0		Germany	C07d	C10m		x
	AN													
	AO													

OTHER DISCLOSURES (Include Author, Title, Date, Pertinent Pages, Place of Publication, etc.)

	AP	
Examiner	Date Considered	

* Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and NOT considered. Include copy of this form with next communication to applicant(s).



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	AA	5	1	7	8	7	8	3	1/12/93	Salomon	252	47.5	4/6/92
	AB	5	9	4	2	4	7	1	8/24/99	Kapuscinski	508	251	7/1/92
	AC	5	2	7	5	7	4	6	1/4/94	Kapuscinski	252	47.5	8/24/90
	AD	3	3	7	6	2	2	4	4/2/68	Elliott et al	252	47.5	5/18/64
	AE	4	8	7	7	8	2	4	10/31/89	Evans	524	83	1/25/89
	AF	4	7	9	8	6	8	4	1/17/89	Salomon	252	47.5	6/9/87
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